

ANNEX III: ATTESTATION OF CONFORMITY WITH TECHNICAL SPECIFICATIONS

1. METHODS OF CONTROL OF CONFORMITY

When the procedures for attestation of conformity of a product with technical specifications pursuant to article 13 are being determined, the following methods of control of conformity shall be used; the choice and combination of methods for any given system shall depend on requirements for the particular product or group of products according to the criteria indicated in Article 13 (3) and (4):

- (a) initial type-testing of the product by the manufacturer or an approved body ;
- (b) testing of samples taken at the factory in accordance with a prescribed test plan by the manufacturer or an approved body;
- (c) audit-testing of samples taken at the factory, on the open market or on a construction site by the manufacturer or an approved body;
- (d) testing of samples from a batch which is ready for delivery, or has been delivered, by the manufacturer or an approved body;
- (e) factory production control; (f) initial inspection of factory and of factory production control by an approved body;
- (g) continuous surveillance, judgement and assessment of factory production control by an approved body.

In the Directive, factory production control means the permanent internal control of production exercised by the manufacturer. All the elements, requirements and provisions adopted by the manufacturer shall be documented in a systematic manner in the form of written policies and procedures. This production control system documentation shall ensure a common understanding of quality assurance and enable the achievement of the required product characteristics and the effective operation of the production control system to be checked.

2. SYSTEMS OF CONFORMITY ATTESTATION

Preference is given to application of the following systems of conformity attestation.

(i) Certification of the conformity of the product by an approved certification body on the basis of:

- (a) (tasks for the manufacturer)
 - (1) factory production control;
 - (2) further testing of samples taken at the factory by the manufacturer in accordance with a prescribed test plan;
- (b) (tasks for the approved body)
 - (3) initial type-testing of the product;
 - (4) initial inspection of factory and of factory production control;
 - (5) continuous surveillance, assessment and approval of factory production control;
 - (6) possibly, audit-testing of samples taken at the factory, on the market or on the construction site.

(ii) Declaration of conformity of the product by the manufacturer on the basis of:

First possibility:

- (a) (Tasks for the manufacturer)
 - (1) initial type-testing of the product;
 - (2) factory production control;
 - (3) possibly, testing of samples taken at the factory in accordance with a prescribed test plan;
- (b) (tasks for the approved body)
 - (4) certification of factory production control on the basis of:
 - initial inspection of factory and of factory production control,
 - possibly, continuous surveillance, assessment and approval of factory production control.

Second possibility:

- (1) initial type-testing of the product by an approved laboratory ;
- (2) factory production control.

Third possibility:

- (a) initial type-testing by the manufacturer;
- (b) factory production control.

3. BODIES INVOLVED IN THE ATTESTATION OF CONFORMITY

With respect to the function of the bodies involved in the attestation of conformity, distinction shall be made between

- (i) certification body, which means an impartial body, governmental or non-governmental, possessing the necessary competence and responsibility to carry out conformity certification according to given rules of procedure and management;
- (ii) inspection body, which means an impartial body having the organization, staffing, competence and integrity to perform according to specified criteria functions such as assessing, recommending for acceptance and subsequent audit of manufacturers' quality control operations, and selection and evaluation of products on site or in factories or elsewhere, according to specific criteria;
- (iii) testing laboratory, which means a laboratory which measures, examines, tests, calibrates or otherwise determines the characteristics or performance of materials or produces.

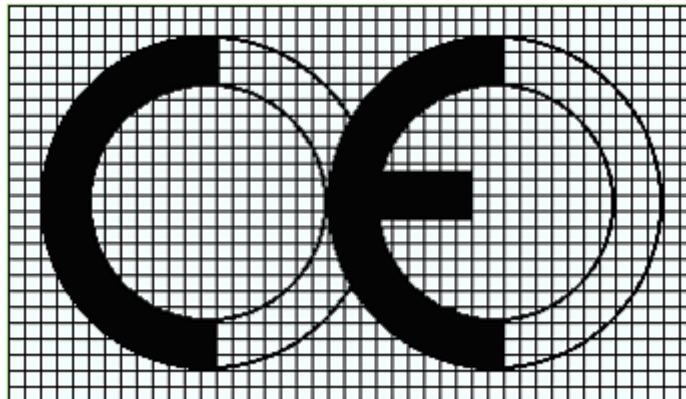
In case (i) and (ii) (first possibility) of paragraph 2, the three functions 3 (i) to (iii) may be performed by one and the same body or by different bodies, in which case the inspection body and /or the testing laboratory involved in the attestation of conformity carries out its function on behalf of the certification body.

For the criteria concerning the competence, impartiality and integrity of certification bodies, inspection bodies and testing laboratories, see Annex 1V.

4. CE CONFORMITY MARKING, EC CERTIFICATE OF CONFORMITY, EC DECLARATION OF CONFORMITY

4.1. CE conformity marking

- The CE conformity marking shall consist of the initials "CE " taking the following form:



- If the CE marking is reduced or enlarged the proportions given in the above graduated drawing must be respected.

- The various components of the CE marking must have substantially the same vertical dimension, which may not be less than 5 mm.

- The CE marking shall be followed by the identification number of the body involved in the production control stage.

Additional information

- The CE marking shall be accompanied by the name or identifying mark of the producer, the last two digits of the year in which the marking was affixed, and where appropriate, the number of the EC certificate of conformity and, where appropriate, indications to identify the characteristics of the product on the basis of the technical specifications.

4.2. EC certificate of conformity

The EC certificate of conformity shall contain in particular

- name and address of the certification body
- name and address of the manufacturer or his agent established in the Community
- description of the product (type, identification, use...)
- provisions to which the product conforms,
- particular conditions applicable to the use of the product,
- the certificate's number,
- conditions and period of validity of the certificate, where applicable,

- name of, and position held by, the person empowered to sign the certificate.

4.3. EC declaration of conformity

The EC declaration of conformity shall contain in particular:

- name and address of the manufacturer or his agent established in the Community,
- description of the product (type, identification, use ...),
- provision to which the product conforms,
- particular conditions applicable to the use of the product,
- name and address of the approved body, where applicable,
- name of, and position held by, the person empowered to sign the declaration on behalf of - the manufacturer or of his authorized representative.

4.4. The certificate and declaration of conformity shall be presented in the official language or languages of the Member State in which the product is to be used